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3. Issuers of exempt products are not subject to the same ongoing disclosure obligations as public issuers;
4. Many exempt products are not as liquid as publicly-traded securities;
5. Exempt products are often subject to a greater degree of “key person” risk than more widely held securities;
6. Investors purchase pursuant to an exemption because the regulators feel they do not need many of the usual protections accorded to investor in publicly-traded securities;
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